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**DECLARATION  
FOR UTILITY OR DESIGN  
PATENT APPLICATION**

Attorney Docket No.: 65522

First Named Inventor:  
Christopher Hugh Williams

Application Number: 09/266,298

Filing Date: March 11, 1999

Group Art Unit: 3651

Examiner Name: Not yet assigned

☐ Declaration Submitted With Initial Filing  
☒ Declaration Submitted After Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COLLATING DEVICE

(Title of Invention)

the specification of which:

( ) is attached hereto, or

(X) was filed by an authorized person on my behalf on 03/11/99  
(Date)  
as United States Application Number 09/266,298  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).  
(Date)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number(s)	Country	Foreign Filing Date	Certified Priority Not Claimed	Copy Attached	
				Yes	No
9805730.0	Great Britain	March 17, 1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>Provisional Application Number(s)</u>	<u>Provisional Application Filing Date</u>
--	--

☐ Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §120, of any prior United States application(s), or under §365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>Prior U.S. Application Number</u>	<u>Prior PCT International Application Number</u>	<u>Filing Date of U.S. or PCT International Application</u>	<u>Patent Number (if applicable)</u>
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☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioners, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and request that all correspondence and telephone calls in respect to this application be directed to FITCH, EVEN, TABIN & FLANNERY, Suite 1600, 120 South LaSalle Street Chicago, Illinois, 60603-3406, Telephone No. (312) 577-7000, Facsimile No. (312) 577-7007:


<u>Registered Practitioner</u>	<u>Registration Number</u>	<u>Registered Practitioner</u>	<u>Registration Number</u>
Morgan L. Fitch, Jr.	17,023	Mark W. Hetzler	38,183
Francis A. Even	16,880	Timothy P. Maloney	38,233
Julius Tabin	16,754	Thomas F. Lebens	38,221
John F. Flannery	19,759	Donald A. Peterson	18,647
Robert B. Jones	20,135	James R. McBride	24,275
James J. Schumann	20,856	Bruce R. Mansfield	29,086
James J. Hamill	19,958	Jeannette M. Walder	30,698
Timothy E. Levstik	30,192	Mark A. Hamill	37,145
Joseph E. Shipley	31,137	Perry J. Hoffman	37,150
Robert J. Fox	27,635	James P. Krueger	35,234
Kenneth H. Samples	25,747	Stephen S. Favakeh	36,798
Philip T. Petti	31,651	Jay A. Saltzman	38,293
John S. Paniaguas	31,051		
Richard A. Kaba	30,562		
Karl R. Fink	34,161		

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity or enforceability of the application or any patent issued thereon.

Full name of sole or one joint inventor:

Christopher Hugh Williams  
(Given names first, with Family name last)

Inventor's signature:



Date:

24<sup>th</sup> JUNE 1999

Residence:

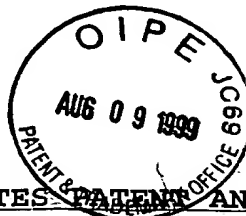
Essex, United Kingdom  
(City and State for U.S. Residents;  
City and Country for others)

Post Office Address:

15 Grosvenor Gardens  
Woodford Green  
Essex IG8 0BE United Kingdom

Citizenship:

British



PATENT APPLICATION

Attorney Docket No. 65522

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) or Patentee(s): Christopher Hugh Williams  
Application No. or Patent No.: 09/266,298  
Filed or Issued: March 11, 1999  
For: COLLATING DEVICE  
Group Art Unit: 3651  
Examiner: Not yet assigned

**VERIFIED STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS (37 C.F.R. §§1.9(f)  
and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ( ) the owner of the small business concern identified below:  
(x) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Printed Forms Equipment Ltd.  
ADDRESS OF CONCERN Oakwood Hill Industrial Estate  
Loughton, Essex IG10 3TZ, United Kingdom

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 37 C.F.R. §1.9(d) and in 13 C.F.R. Part 121 to be eligible for reduced patent fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons, and that the concern has not assigned, granted, conveyed or licensed (and is under no obligation to do so) any rights in the invention to any person who made it and could not be classified as an independent inventor, or to any concern which would not qualify as a non-profit organization or a small business concern under 13 C.F.R. §121.802. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Collating Device

Christopher Hugh Williams by inventor(s)  
described in:

- ( ) the specification filed herewith.  
(X) application number 09/266,298, filed March 11, 1999.  
( ) Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

Full Name \_\_\_\_\_  
 Address \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name \_\_\_\_\_  
 Address \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name \_\_\_\_\_  
 Address \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Barry Young

TITLE OF PERSON IF OTHER THAN OWNER

Company Secretary

ADDRESS OF PERSON SIGNING

6 Old Rope Walk

Haverhill CB9 9DF

SIGNATURE

DATE

 28 June 1999

FORM PTO-1595

(Rev. 6-93)

OMB No. 0851-0011 (exp. 4/94)

RECORDATION FORM  
PATENT

08-16-1999

DEPARTMENT OF COMMERCE

Patent and Trademark Office

y Dkt. No. 65522

8.9.99

101118224

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Christopher Hugh Williams

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: PRINTED PRODUCTS EQUIPMENT LTD.

Internal Address: \_\_\_\_\_

Street Address: Oakwood Hill Industrial EstateCity: Loughton County: EssexCountry: England Postal Code: IG10 3TZAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: June 24, 1999

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/266,298

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James P. KruegerInternal Address: FITCH, EVEN, TABIN & FLANNERYStreet Address: 120 S. LaSalle Street, Suite 1600City: Chicago State: IL ZIP: 60603-34066. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) . . . . . \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account only if check is Missing or improper

8. Deposit Account No.

06-1135

DO NOT USE THIS SPACE

9. Statement and signature  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.James P. Krueger  
Name of Person SigningJames P. Krueger  
SignatureAug 5, 1999  
DateTotal number of pages including cover sheet, attachments, and document: 2Mail Documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 010160 FRAME: 0602


**A S S I G N M E N T**

I, **CHRISTOPHER HUGH WILLIAMS**, of Essex, United Kingdom for good and valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to **PRINTED FORMS EQUIPMENT LTD**, of Oakwood Hill Industrial Estate, Loughton, Essex IG10 3TZ, United Kingdom, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in application for Letters Patent of the United States entitled

**COLLATING DEVICE**

Application No. 09/266,298, filed March 11, 1999; and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. I agree to sign all documents necessary to secure all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this request.

24<sup>th</sup> June, 1999

  
\_\_\_\_\_  
CHRISTOPHER HUGH WILLIAMS